

THE NEW SCHOOL
Milano School of Policy, Management and Environment

**Political Economy and Public Policy Analysis II:
Theories of the Policy Process**

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Office Hours: Wednesdays 3:30-5:30 PM

Spring 2019
Wednesdays 6:00-7:50 PM

Course Description

PEPPA II is a doctoral level course designed to introduce historical, theoretical and disciplinary perspectives essential for critical thinking about the policymaking process. The course takes a multidisciplinary approach, taking into consideration material that both fits into more mainstream policy studies and also expands into less traditional, but equally important, aspects of the policy making process writ large. The course begins with the economic paradigm and the standard rational model. We then explore various responses to and critiques of this paradigm through different conceptual lenses, drawing on ideas from the political science, sociology, public and social policy, urban studies and economics fields. The readings are organized to reflect a dialogue across the various scholars; the theories and models covered in this course should not be considered in isolation, but rather as a part of a larger exchange of perspectives and ideas. The frameworks covered in the course are but a subset of the universe of lenses through which to critically assess policymaking processes; students are encouraged to use the material covered here as a jumping-off point for their own, broader analytical toolbox. In addition, the class discussions and student contributions will introduce the class to a range of seminal policy topics and problems. The course concludes with student presentations of their own policy analyses of major policy issues using material from the course.

The course objectives are fourfold:

- To establish scholarly expertise in the field of policy studies;
- To practice writing that is critical *and* also publicly accessible;
- To become comfortable integrating theoretical frames into analytical and empirical research and applications;
- To gain the tools and knowledge necessary to successfully execute the doctoral comprehensive exam.

Course Requirements

PEPPA II will be conducted as a seminar. My job is to conceive of the course and identify the key readings in each session (this syllabus), to serve as the discussion leader and moderator to ensure that we

critically engage the material, and to evaluate and provide feedback on student performance. Students must come to class prepared to actively participate in the discussions, which means having carefully read the assigned readings for each class session. *Class participation is crucial to the success of this class; please come prepared to engage in a meaningful dialogue every week.*

As part of the class participation portion of the course, each student is required to lead the discussion of the readings for one session during the course of the semester. I will assign sessions for **discussion leaders** the first week of class. While I will still set up the material at the start of class, the discussion leader is expected to (i) generate a set of discussion questions for the session (to be circulated by 10am the day of class) and (ii) introduce a policy case for applying the theoretical material for the session. The discussion leader should consult with me (either in person or via email) prior to the session to make sure important themes are coordinated throughout the class session.

In addition to class participation, grades for the course will be based on two deliverables and one midterm exam. The first deliverable is a discussion paper (or “précis”). **Each student is required to submit 5 over the course of the semester.** When the précis is submitted, it is due to me by 10am the day of class (so that I can review it before our meeting that evening). It is at the discretion of each student when they submit the précis, but I strongly recommend submitting them regularly over the course of the semester (rather than saving them all for the end). The précis serves as a useful “testing ground” for your final paper (discussed below) and gives you ample opportunity to practice critical writing and to get feedback from me. *The précis is a critical and analytical discussion or reflection on an actual policy issue, using the theoretical models and concepts from the assigned readings for that week (they are NOT simply summaries of the particular policy or readings). They should not exceed 2 pages (12-point font, single or 1.5 spacing, 1-inch margins).*

The second deliverable is an in-depth critical assessment of a policy problem or policy “moment” of your choosing (15 pages maximum). The paper should focus in on a particular event or process of change or identify a provocative or poorly understood tension that could benefit from a thorough critical analysis. Students will be required to meet with me two times (the paper’s grade will be partially dependent on fulfilling these meeting obligations): first, to consult on the chosen policy issue and, second, to show me the paper outline. Students are of course encouraged and welcome to meet with me more than this. I will not, however, read drafts of the papers. I will be looking for good, professional writing – aim for what is often termed “publishable quality.” Students will also be required to present the analysis in a poster during one of the last two class sessions (presentation dates will be assigned the first week of class). The final version of the paper will be due one week after our final poster session.

The midterm will be an in-class written exam and more information about it will be provided during class.

Grading

Summary précis, discussion leader, and class participation	35%
Midterm Exam	25%
Final paper and presentation	40%

Books and Readings

All books are available in paperback and can be purchased online. Older editions are fine to use, but the onus is on the student to reconcile any differences between the assigned chapters (i.e. those based on the most current edition) and those in the older editions. Any additional readings will be listed in the syllabus for each class meeting and available on Canvas.

Required:

- Howlett, Michael and M. Ramesh. *Studying Public Policy: Policy Cycles and Policy Subsystems*, Oxford University Press, 2009 (3rd Edition).
- Schram, Sanford F. *Praxis for the Poor: Piven and Cloward and the Future of Social Science in Social Welfare*, New York University Press, 2002
- Stone, Deborah, *Policy Paradox: The Art of Political Decision Making*, 3rd Edition, WW Norton, 2012.

Supplementary (recommended for further reading):

- Allison, Graham and Philip Zelikow. *Essence of Decision: Explaining the Cuban Missile Crisis*, Longman, 1999 (2nd Edition).
- Anderson, James E., *Public Policymaking*, Houghton Mifflin Co., 2011.
- Baumgartner, Frank R. and Bryan D. Jones. *Agendas and Instability in American Politics*, University of Chicago Press, 2009 (2nd Edition).
- Baumgartner, Frank R. and Bryan D. Jones. *The Politics of Attention: How Government Prioritizes Problems*, University of Chicago Press, 2005.
- Fischer, Frank and John Forester, Eds. 1993. *The Argumentative Turn in Policy Analysis and Planning*. Durham, NC: Duke University Press.
- Fischer, Frank and Herbert Gottweis, Eds. 2012. *The Argumentative Turn Revisited: Public Policy as Communicative Practice*. Durham, NC: Duke University Press.
- Colebatch, Hal. 1998. *Policy*. University of Minnesota Press.
- Hill, Michael. *Studying Public Policy: An International Approach*, Bristol, UK: Policy Press, 2014.
- Kingdon, John W. *Agendas, Alternatives and Public Policies*, Longman, 2003 (2nd Edition).
- Logan, John R. and Harvey L. Molotch. *Urban Fortunes: The Political Economy of Place*, University of California Press, 1987.
- Osbourne, David and Ted Gaebler. *Reinventing Government: How the Entrepreneurial Spirit is Transforming the Public Sector*, Penguin Publishers, 1992.
- B. Guy Peters and Philippe Zittoun (Eds.). 2016. *Contemporary Approaches to Public Policy Theories: Controversies and Perspectives*. Palgrave Macmillan.
- Pressman, Jeffrey L. and Aaron Wildavsky. *Implementation*, University of California Press, 1984 (3rd Edition).
- Weible, Christopher M. and Sabatier, Paul A. (Eds.), *Theories of the Policy Process*, Westview Press, 2014 (4th Edition).
- Schneider, Anne Larason and Helen Ingram. *Policy Design for Democracy*, University Press of Kansas, 1997.

Resources

The university provides many resources to help students achieve academic and artistic excellence. These resources include:

- [The University \(and associated\) Libraries](#)
- [The University Learning Center](#)
- [University Disabilities Service](#)

In keeping with the university's policy of providing equal access for students with disabilities, any student with a disability who needs academic accommodations is welcome to meet with me privately. All conversations will be kept confidential. Students requesting any accommodations will also need to contact Student Disability Service (SDS). SDS will conduct an intake and, if appropriate, the Director will provide an academic accommodation notification letter for you to bring to me. At that point, I will review the letter with you and discuss these accommodations in relation to this course.

Academic Honesty and Integrity

Compromising your academic integrity may lead to serious consequences, including (but not limited to) one or more of the following: failure of the assignment, failure of the course, academic warning, disciplinary probation, suspension from the university, or dismissal from the university.

Students are responsible for understanding the University's policy on academic honesty and integrity and must make use of proper citations of sources for writing papers, creating, presenting, and performing their work, taking examinations, and doing research. It is the responsibility of students to learn the procedures specific to their discipline for correctly and appropriately differentiating their own work from that of others. The full text of the policy, including adjudication procedures, is found on the university website under [Policies: A to Z](#). Resources regarding what plagiarism is and how to avoid it can be found on the [Learning Center's website](#).

The New School views "academic honesty and integrity" as the duty of every member of an academic community to claim authorship for his or her own work and only for that work, and to recognize the contributions of others accurately and completely. This obligation is fundamental to the integrity of intellectual debate, and creative and academic pursuits. Academic honesty and integrity includes accurate use of quotations, as well as appropriate and explicit citation of sources in instances of paraphrasing and describing ideas, or reporting on research findings or any aspect of the work of others (including that of faculty members and other students). Academic dishonesty results from infractions of this "accurate use". The standards of academic honesty and integrity, and citation of sources, apply to all forms of academic work, including submissions of drafts of final papers or projects. All members of the University community are expected to conduct themselves in accord with the standards of academic honesty and integrity. Please see the complete policy in the Parsons Catalog.

Intellectual Property Rights

The New School (the "university") seeks to encourage creativity and invention among its faculty members and students. In doing so, the University affirms its traditional commitment to the personal ownership by its faculty members and students of Intellectual Property Rights in works they create. The complete policy governing Intellectual Property Rights may be seen on the [university website, on the Provost's page](#).

Conduct

This is a professional school. As such, students are expected to conduct themselves in a professional manner. Please respect the following policies:

- **Mobile devices:** Please silence all mobile devices before coming to class. If you are experiencing an emergency, and need to be reachable, please let me know before class and set your phone to silent.
 - **Attendance and lateness:** Class will begin and end promptly. If you are going to miss a class, are going to be late or need to leave early, please let me know ahead of time. Students who come in late and leave early disrupt the class and miss important information. If chronic lateness is an issue, it will be reflected poorly in your final grade.
 - **Email:** Your New School email address will operate as your point of contact for this course, so please make sure you check this account regularly. I will conduct all communication through the New School email and Canvas.
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Class Schedule and Readings

Asterisked readings are recommended. For the required books, I suggest reading the entire book; however, students will only be responsible for knowing the assigned chapters. "C" denotes that the reading is available on Canvas.

Session 1 (1/23): "Public Policy" introduced.

Howlett and Ramesh, Chapter 1: "Introduction: Why Study Public Policy?"

Anderson, James. 2003. "The Study of Public Policy." In *Public Policymaking*, Houghton Mifflin. (C)

Ostrom, Elinor. 2002. "Policy Analysis in the Future of Good Societies," *The Good Society*, 11(1). (C)

Hoffman, Andrew J. 2015. "Isolated Scholars: Making Bricks, Not Shaping Policy," *The Chronicle of Higher Education*, Feb. 9. (C)

**Kelman, Steven. 1988. "Why Public Ideas Matter." In Robert Reich (Ed.) *The Power of Public Ideas*, Harvard University Press. (C)

**Laswell, Harold D. 1971. *A Pre-View of Policy Sciences*, Elsevier Publishing.

**White, Louise G. 1994. "Policy Analysis as Discourse," *Journal of Policy Analysis and Management*, 13(3). (C)

**DeLeon, Peter 2006. "The Historical Roots of the Field." In M. Moran, M. Rein & R.E. Goodin, (Eds.) *The Oxford Handbook of Public Policy*: 39-57. (C)

**Torgerson, Douglas 2007. "Promoting the Policy Orientation: Lasswell in Context." In Frank Fischer, Gerald J. Miller, Mara S. Sidney (Eds.) *Handbook of Public Policy Analysis: Theory, Politics, and Methods*: 15-28. (C)

Session 2 (1/30): The Economic Paradigm as a rationale for policy intervention.

Howlett and Ramesh, Chapter 2: "Understanding Public Policy: Theoretical Approaches."

Stiglitz, Joseph. 1998. "The Private Uses of Public Interests: Incentives and Institutions", *Journal of Economic Perspectives*, 12(2). (C)

Zerbe Jr., Richard O. and Howard E. McCurdy. 1999. "The Failure of Market Failure," *Journal of Policy Analysis and Management*, 18(4). (C)

Ostrom, Elinor. 2000. "Collective Action and the Evolution of Social Norms," *Journal of Economic Perspectives*, 14(3). (C)

**Andrews, Clinton J. 2007. "Rationality in Policy Decision Making." In Frank Fischer, Gerald J. Miller, Mara S. Sidney (Eds.), *Handbook of Public Policy Analysis: Theory, Politics, and Methods*. CRC Press. (C)

**Hutton, W. and Schneider, Philippe. 2008. *The Failure of Market Failure: Towards a 21st Century Keynesianism*. Nesta Provocation 08. (C)

Session 3 (2/6): The stage model of policymaking and muddling through

Howlett and Ramesh, Chapter 6: "Public Policy Decision-Making."

DeLeon, Peter. 1999. "The Stages Approach to the Policy process: What Has It Done?

Where Is It Going?" In Paul A. Sabatier Ed. *Theories of the Policy Process*, Westview Press. (C)

- Lindblom, Charles E. 1959. "The Science of 'Muddling Through,'" *Public Administration Review*, 19(2). (C)
- Forester, John. 1984. "Bounded Rationality and the Politics of Muddling Through," *Public Administration Review*, 44(1). (C)
- Sabatier, Paul A. and Christopher M. Weible. 2014. "The Advocacy Coalition Framework: Innovations and Clarifications." In Paul A. Sabatier Ed. *Theories of the Policy Process*, Westview Press. (C)

- **Etzioni, Amitai. 1967. "Mixed-Scanning: A 'Third' Approach to Decision-Making," *Public Administration Review*, 27(5). (C)
- **Simon, Herbert. 1986. "Rationality in Psychology and Economics," *The Journal of Business*, 59(4). (C)

Session 4 (2/13): Framing and agenda setting in policy making.

- Howlett and Ramesh, Chapters 4 ("Agenda-Setting"), and 5 ("Policy Formulation: Policy Instruments and Policy Design").
- Zahariadis, Nikolaos. 2014. "The Multiple Streams Framework: Structure, Limitations, Prospects." In Paul A. Sabatier Ed. *Theories of the Policy Process*, Westview Press. (C)
- True, James L., Bryan D. Jones, and Frank R. Baumgartner. 2014. "Punctuated-Equilibrium Theory: Explaining Stability and Change in Public Policymaking." In Paul A. Sabatier Ed. *Theories of the Policy Process*, Westview Press. (C)
- Keeler, John T.S. 1993. "Opening the Window for Reform: Mandates, Crises, and Extraordinary Policy-Making," *Comparative Political Studies*, 25(4): 433–486. (C)
- Skocpol, Theda. 1991. "Targeting within Universalism: Politically viable Policies to Combat Poverty in the United States," In Christopher Jencks and Paul E. Peterson Eds. *The Urban Underclass*, The Brookings Institution. (C)

If you have time, listen to: "Why America Hates Maternity Leave", *Stuff Mom Never Told You, The Podcast*, available at:

<http://www.stuffmomnevertoldyou.com/podcasts/why-america-hates-maternity-leave/>.

- **Baumgartner, Frank R. and Bryan D. Jones. 2009. *Agendas and Instability in American Politics*, University of Chicago Press (2nd Edition).
- **Kingdon, John W. 2003. *Agendas, Alternatives and Public Policies*, Longman (2nd Edition).
- **Baumgartner, Frank R. and Bryan D. Jones. 2005. *The Politics of Attention: How Government Prioritizes Problems*, University of Chicago Press.
- ** Cobb, Roger W. and Charles D. Elder. 1971. "The Politics of Agenda-Building: An Alternative Perspective for Modern Democratic Theory," *The Journal of Politics*, 33(4). (C)
- **Eissler, Rebecca, Russell, Annelise and Jones, Bryan D. 2016. "The Transformation of Ideas: The Origin and Evolution of Punctuated Equilibrium Theory." In B. Guy Peters and Philippe Zittoun (Eds.) *Contemporary Approaches to Public Policy Theories: Controversies and Perspectives*. Palgrave. (C)
- **Nohrstedt, Daniel. 2014. "Understanding the Political Context of Nuclear Energy Policy Change in Sweden." In *Studying Public Policy: An International Approach*, Ed. Michael Hill, Policy Press, 2014. (C) ***This is a nice application of the Baumgartner and Jones model.***

Session 5 (2/20): Networks and narratives of policy

- Rein, Martin and Donald Schon. 1993. "Reframing Policy Discourse." In *The Argumentative Turn in Policy Analysis and Planning*. Duke University Press. (C)
- Coleman, Stephen 2012. The Internet as a Space for Policy Deliberation. In Frank Fischer and Herbert Gottweis (Eds.) *The Argumentative Turn Revisited: Public Policy As Communicative Practice*. Duke University Press. (C)
- Schram, Sanford 2012. The Deep Semiotic Structure of Deservingness Discourse and Identity in Welfare Policy. In Frank Fischer and Herbert Gottweis (Eds.) *The Argumentative Turn Revisited: Public Policy As Communicative Practice*. Duke University Press. (C)
- Jones, Michael D. and McBeth, Mark K. 2010. A Narrative Policy Framework: Clear Enough to Be Wrong? *The Policy Studies Journal*, Vol. 38-2: 329-354. (C)
- Howarth, David and Griggs, Steven 2012. "Poststructuralist Policy Analysis Discourse, Hegemony and Critical Explanation." In Frank Fischer and Herbert Gottweis (Eds.) *The Argumentative Turn Revisited: Public Policy As Communicative Practice*. Duke University Press. (C)
- **Majone, Giandomenico. 1988. "Policy Analysis and Public Deliberation." In Robert Reich (Ed.) *The Power of Public Ideas*, Harvard University Press. (C)
- **Flyvbjerg, Bent 1998. "Habermas and Foucault: Thinkers for Civil Society?" *The British Journal of Sociology*, Vol. 49(2): 210-233. (C)
- **Fischer, Frank 2009. *Democracy and Expertise: Reorienting Policy Inquiry*. Oxford University Press. (C)
- **Forester, John 1993. *Critical Theory, Public Policy And Planning Practice: Toward a Critical Pragmatism*. SUNY Press. (C)

Session 6 (2/27): Pluralism and politics.

- Schneider, Anne and Helen Ingram. 1997. "A Pluralist View of Public Policy" in *Policy Design for Democracy*, University Press of Kansas. (C)
- Stone, Chapters 1-16.
- Bachrach, Peter and Morton S. Baratz. 1962. "Two Faces of Power," *The American Political Science Review*, 56(4). (C)
- Hirschman, Albert O. 1992. "An Expanding Sphere of Influence" in *Rival Views of Market Society and Other Recent Essays*, Harvard University Press. (C)
- **Pierson, Paul. 1993. "When Effect Becomes Cause: Policy Feedback and Political Change," *World Politics*, 45(4). (C)
- **Pierson, Paul. 2000. "Increasing Returns, Path Dependence, and the Study of Politics," *The American Political Science Review*, 94(2). (C)
- **Mettler, Suzanne. 2002. "Bringing the State Back in to Civic Engagement: Policy Feedback Effects of the G.I. Bill for World War II Veterans," *The American Political Science Review*, 96(2). (C)
- **Wilson, James Q. 1989. "Interests" in *Bureaucracy: What Government Agencies Do and Why They Do It*, BasicBooks. (C)
- **Lowi, Theodore J. 1972. "Four Systems of Policy, Politics, and Choice," *Public Administration Review*, 32(4). (C)
- **Lowi, Theodore J. 1964. "American Business, Public Policy, Case-Studies, and Political

- Theory,” *World Politics*, 16(4). (C)
**Oberlander, Jonathan. 2003. *The Political Life of Medicare*, University of Chicago Press.

Session 7 (3/6): Institutions and norms.

- Howlett and Ramesh, Chapter 3: “The Policy Context.”
Allison, Graham and Philip Zelikow. 1999. Chapter 3 & 4. In *Essence of Decision: Explaining the Cuban Missile Crisis* (2nd Edition), Longman.
Ingram, Helen, Anne L. Schneider, and Peter DeLeon. 2014. “Social Construction and Policy Design” In Paul A. Sabatier Ed. *Theories of the Policy Process*, Westview Press. (C)
Roy, Ananya. 2009. “Civic Governmentality: The Politics of Inclusion in Beirut and Mumbai,” *Antipode*, 41(1). (C)
Schmidt, Vivien A. 2010. “Taking Ideas and Discourse Seriously: Explaining Change through Discursive Institutionalism as the Fourth ‘New Institutionalism’.” *European Political Science Review*, 2(1). (C)
- **Ostrom, Elinor. 2014. “Institutional Rational Choice: An Assessment of the Institutional Analysis and Development Framework.” In Paul A. Sabatier Ed. *Theories of the Policy Process*, Westview Press. (C)
**Cohen, Michael D., James G. March, and Johan P. Olsen. 1972. “A Garbage Can Model of Organizational Choice,” *Administrative Science Quarterly*, 17(1). (C)
**Schmidt, Vivien A. 2008. “Discursive Institutionalism: The Explanatory Power of Ideas and Discourse.” *Annual Review of Political Science*. 11: 303–326. (C)
**Bendor, Jonathan and Thomas H. Hammond. 1992. “Rethinking Allison’s Models,” *The American Political Science Review*, 86(2). (C)
**Romer, Paul. 2010. *Technologies, Rules, and Progress: The Case for Charter Cities*, Center for Global Development Essay, Washington, D.C. (C)
**Moe, Terry M. 1984. “The New Economics of Organization,” *American Journal of Political Science*, 28(4). (C)
**Schlager, Edella. 2014. “A Comparison of Frameworks, Theories, and Models of Policy Processes.” In Paul A. Sabatier Ed. *Theories of the Policy Process*, Westview Press. (C)
**Besley, Timothy. 2011. “Pathologies of the State.” *Journal of Economic Behavior & Organization*, 80(2). (C)

Session 8 (3/13): IN-CLASS MIDTERM EXAM

Session 9 (3/20): NO CLASS—SPRING BREAK

Session 10 (3/27): Implementation and policy “on the ground”.

- Howlett and Ramesh, Chapter 7: “Policy Implementation.”
Lipsky, Michael. 1980. Chapters 1, 2, 3, 5, and 8. In *Street Level Bureaucracy*, Russell Sage Foundation. (C)
Duflo, Esther. May 2012. “Human values and the design of the fight against poverty,” pg. 1-27, notes from the *Tanner Lectures on Human Values*, Harvard University. (C)
Mosse, David. 2004. “Is Good Policy Unimplementable? Reflections on the Ethnography of Aid Policy and Practice.” *Development and Change* 35(4). (C)
- **Osbourne, David and Ted Gaebler. 1992. Introduction and Chapter 11. In *Reinventing*

Government: How the Entrepreneurial Spirit is Transforming the Public Sector, Addison-Welsey. (C)

- **Pressman and Wildavsky. 1984. Chapters 1, 2, 3, 5, and 7. In *Implementation*, University of California Press. (C)
- **John, Peter. 2016. "Behavioral Approaches: How Nudges Lead to More Intelligent Policy Design." In B. Guy Peters and Philippe Zittoun (Eds.) *Contemporary Approaches to Public Policy Theories: Controversies and Perspectives*, Palgrave Macmillan.
- **Larrue, Corinne and Marie Fournier. 2014. "The Role of Local Actors in Water and Flood Management in France: Between Policy Formulation and Policy Implementation." In Michael Hill (Ed.) *Studying Public Policy: An International Approach*, Policy Press. (C)

Session 11 (4/3): Urban politics

Oliver, J. Eric. 2012. "Rethinking Local Democracy." In *Local elections and the Politics of Small-Scale Democracy*, Princeton University Press. (C)

Harvey, David. 2012. Preface and Chapter 1. In *Rebel Cities: From the Right to the City to the Urban Revolution*. Verso. (C)

Logan, John R. and Harvey L. Molotch. 1987. "The City as a Growth Machine" in *Urban Fortunes: The Political Economy of Place*, University of California Press. (C)

Arnstein, Sherry. 1969. "A Ladder of Citizen Participation", *Journal of the American Planning Association*, 8(3). (C)

MacLeod, G. and Jones, M. 2011. "Re-newing Urban Politics." *Urban Studies*, 48(12). (C)

Caldeira, Teresa. 2015. "Social Movements, Cultural Production, and Protests." *Current Anthropology*, 56(S11). (C)

**Elkin, Stephen L. 1987. *City and regime in American Public*, University of Chicago Press.

**Stone, Clarence. 1989. *Regime Politics: Governing Atlanta, 1946-1988*, University Press of Kansas.

**Sassen, Saskia. 2004. "Local Actors in Global Politics", *Current Sociology*, 52(4). (C)

**Trounstine, Jessica. 2006. "Dominant regimes and the demise of urban democracy." *The Journal of Politics*, 68(4). (C)

**Trounstine, Jessica. 2009. "All Politics Is Local: The Reemergence of the Study of City Politics." *Perspectives on Politics*, 7(3). (C)

**Raco, Mike, Emma Street and Sonia Freire-Trigo. 2016. "The New Localism, Anti-Political Development Machines, and the Role of Planning Consultants: Lessons from London's South Bank," *Territory, Politics, Governance*, 4(2). (C)

**Roy, Ananya. 2005. "Urban Informality: Toward an Epistemology of Planning." *Journal of the American Planning Association*, 71(2). (C)

Session 12 (4/10): Policy Diffusion and Learning

Dobbin, Frank, Simmons Beth and Garrett, Geoffrey. 2007. "The Global Diffusion of Public Policies: Social Construction, Coercion, Competition, or Learning?" *Annual Review of Sociology*, 33:449-472. (C)

Drezner, Daniel W. 2001. "Globalization and Policy Convergence." *International Studies Review*, 3(1). (C)

Grossback, Lawrence J., Sean Nicholson-Crotty, and David A.M. Peterson. 2004. "Ideology and Learning in Policy Diffusion," *American Politics Research*, 32(5). (C)

Mukhtarov, Farhad. 2014. "Rethinking the Travel of Ideas: Policy Translation in the Water

Sector.” *Policy & Politics*, 42(1). (C)

- **Weyland, Kurt. 2005. “Theories of Policy Diffusion: Lessons for Latin American Pension Reform.” *World Politics*, 57(2). (C)
- **Gilardi, Fabrizio. 2010. “Who Learns from What in Policy Diffusion Processes?” *American Journal of Political Science*, 54(3). (C)
- **Mintrom, Michael. 1997. “Policy Entrepreneurs and the Diffusion of Innovation.” *American Journal of Political Science*, 41(3). (C)
- **Meseguer, Covadonga. 2006. “Rational Learning and Bounded Learning in the Diffusion of Policy Innovations.” *Rationality and Society*, 18(1). (C)
- **Volden, Craig, Michael M. Ting, and Daniel P. Carpenter. 2008. “A Formal Model of Learning and Policy Diffusion.” *The American Political Science Review*, 102(3). (C)
- **Weyland, Kurt. 2007. *Bounded Rationality and Policy Diffusion*, Princeton University Press.
- **Shipan, Charles R. and Craig Volden. 2008. “The Mechanisms of Policy Diffusion.” *American Journal of Political Science*, 52(4). (C)

Session 13 (4/17): Social movements and policymaking

- Olson, Mancur. 1971. Chapters I(A)-I(F) and II(A)-II(B). In *The Logic of Collective Action: Goods and the Theory of Groups*, Harvard University Press. (C)
- Meyer, David S. and Minkoff, Debra C. 2004. “Conceptualizing political opportunity.” *Social Forces*, 82(4). (C)
- Andrews, Kenneth T. 2001. “Social Movements and Policy Implementation: The Mississippi Civil Rights Movement and the War on Poverty, 1965 to 1971.” *American Sociological Review*, 66(1). (C)
- Keck, Margaret E. and Kathryn Sikkink. 1999. “Transnational Advocacy Networks in International and Regional Politics.” *International Social Science Journal*, 51(159). (C)
- Sassen, Saskia. 2012. *Occupying is not the Same as Demonstrating*, Committee on Global Thought. (C)

- **Burstein, Paul and April Linton. 2002. “The Impact of Political Parties, Interest Groups, and Social Movement Organizations on Public Policy: Some Recent Evidence and Theoretical Concerns.” *Social Forces*, 81(2). (C)
- **Della Porta, Donatella and Mario Diani. 2006. “Collective Action and Identity.” In *Social Movements: An Introduction (2nd Edition)*. Blackwell Publishing.
- **Piven, Frances Fox and Richard A. Cloward. 1977. *Poor People’s Movements: Why They Succeed, How They Fail*. Vintage Books.
- **Morrow, Raymond A. and Carlos Alberto Torres. 2007. “The State, Social Movements, and Educational Reform.” In Robert F. Arnove and Carlos Alberto Torres (Eds.) *Comparative Education: The Dialectic of the Global and the Local*, Rowman & Littlefield Publishers. (C)
- **Benkler, Yochai. 2010. “Law, Policy and Cooperation.” In Edward J. Balleisen and David A. Moss (Eds.) *Government and Markets: Toward a New Theory of Regulation*. Cambridge University Press. (C)
- **Bellin, Eva. 2012. “Reconsidering the Robustness of Authoritarianism in the Middle East: Lessons from the Arab Spring.” *Comparative Politics*, 44(2). (C)

Session 14 (4/24): Activist policy research and ethics.

Schram, Introduction and Chapters 1, 3, 4, 6, and 7.

- Greenwood, Davydd J. 2008. "Theoretical Research, Applied Research, and Action Research: The Deinstitutionalization of Activist Research." In Charles R. Hale (Ed.) *Engaging Contradictions: Theory, Politics, and Methods of Activist Scholarship*, University of California Press. (C)
- Fischer, Frank. 2000. "Professional Knowledge and Citizen Participation: Rethinking Expertise." In *Citizens, experts and the environment: The politics of local knowledge*. Duke University Press. (C)
- Warwick, Donald P. and Thomas F. Pettigrew. 1983. "Toward Ethical Guidelines for Social Science Research in Public Policy," In Daniel Callahan and Bruce Jennings (Eds.) *Ethics, The Social Sciences and Policy Analysis*, Plenum Press. (C)
- Drezner, Daniel. 2017. "Triumph of the Thought Leader ... and the Eclipse of the Public Intellectual." *The Chronicle of Higher Education*. (C)
- **Amy, Douglas J. 1984. "Why Policy Analysis and Ethics are Incompatible." *Journal of Policy Analysis and Management*, 3(4). (C)
- **Shulock, Nancy. 1999. "The Paradox of Policy Analysis: If It Is Not Used, Why Do We Produce So Much of It?" *Journal of Policy Analysis and Management*, 18(2). (C)
- **Gormley, William. 1987. "Institutional Policy analysis: A Critical Review." *Journal of Policy Analysis and Management*, 6(2). (C)
- **Weimer, David Leo. 2002. "Enriching Public Discourse: Policy Analysis in Representative Democracies." *The Good Society*, 11(1). (C)
- **Mead, Lawrence M. 1983. "The Interaction Problem in Policy Analysis." *Policy Sciences*, 16(1). (C)

Session 15 (5/1): Student Presentations.

Session 16 (5/8): Student Presentations.

****FINAL PAPER DUE BY MIDNIGHT ON MONDAY MAY 13TH****